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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In Re Application of TODD BARRETT Application No.: 09/960,223 Filed: 9/20/01 |) FOR: METHOD FOR PROVIDING VARIABLE OPTICAL ATTENUATION Group Art Unit: 2874 |
|---|---|
| mental sa z | SE TO MISSING PARTS |
| BOX MISSING PARTS Assistant Commissioner for Patent Washington, D.C. 20231 Dear Sir: In response to the Notice of Applicant submits herewith the foll | Missing Parts of Nonprovisional Application, |
| roly without | I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 2002 (Mailing Date) GARY L. EASTMAN (Registered Representative) (Signature) |

(Date of Signature)

- A copy of the Notice to File Missing Parts.
- Petition for Extension of Time (one month).
- 3. Signed Declaration/ Power of Attorney.
- 4. Check # <u>300</u> for \$685.00 for:

\$370.00 for statutory basic filing fee*;

\$153.00 for additional claim fees (17 claims over 20)*;

\$65.00 late filing fee*;

\$55.00 for one (1) month extension of time*; and

\$42.00 for independent claim fee (1 claim over 3)*.

5. Postcard.

*Small Entity Statement: The undersigned, as attorney of record on behalf of Applicant, hereby verifies that Applicant qualifies as a small entity pursuant to 37 C.F.R. § 1.27, and so is entitled to a one-half reduction in applicable fees in connection with this application.

ORIGINALL SASERS

Appl. No. 09/960,223

Respectfully submitted,

Dated: 1-22-62

Gary L. Eastman

Attorney for Applicant Registration No. 41,005

EASTMAN & ASSOCIATES 520 West Ash Street, Suite 306 San Diego, California 92101 Telephone: (619) 230-1144

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Docket No.: 1092-PA428





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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/960,223

09/20/2001

Todd Barrett

1092-PA428

CONFIRMATION NO. 5857

FORMALITIES LETTER

OC000000006951526

EASTMAN & ASSOCIATES Suite 306 520 West Ash Street San Diego, CA 92101



Date Mailed: 10/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$193.
 - \$153 for 17 total claims over 20.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 613.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY